

ADEMAR lease type a plu	s sign (+) inside this box	→[+]			PTO/SB/21 (08-00)
Under the Paperwork Reducti	on Act of 1995, no person	ns are required to re	U.S. Patent and Tr	adamade Office: II C DE	10/31/2002. OMB 0651-0031 PARTMENT OF COMMERCE s a valid OMB control number.
			Application Number		
TRA	ANSMITT	TAL	Filing Date	January 10,	2001
	FORM		First Named Invent		
(to be used for a	all correspondence aft	er initial filing)	Group Art Unit	3739	MAR
		T	Examiner Name	David M. Sh	1ay 410000 3 200
Total Number	of Pages in This Subm	ission 8	Attorney Docket Nur	nber 155694-006	MAR 2 3 200 PART OF CENTER
		ENCL	OSURES (ch	eck all that apply	
Fee Transmittal For		(for an A	nent Papers Application)	to Group	vance Communication
X Fee Attache		Drawing		of Appeals	ommunication to Board s and Interferences
Amendment / Repl	у	Petition	g-related Papers	11 1.''	ommunication to Group ce, Brief, Reply Brief)
After Final		Petition	to Convert to a	Proprieta	ry Information
Affidavits/de	eclaration(s)	1	nal Application of Attorney, Revocation of Correspondence	Status Le	tter
Extension of Time f	Request	Address	•	Other En	closure(s) <i>(please</i> elow):
Express Abandonn	nent Request		ll Disclaimer t for Refund	74 Reference	es .
X Information Disclosure Statement			mber of CD(s)	Return Posto	
Certified Copy of P	riority		Titler of CD(s)		
Document(s) Remark Response to Missing Parts/					
Incomplete Application Response to Missing Parts				•	
under 37 CFR 1.52 or 1.53					
	SIGNATU	RE OF APPLI	CANT, ATTORNEY,	OR AGENT	
Firm Ben J. Yorks, Esq. Reg. No. 33,609					
Individual name IRELL & MANELLA LLP					
Signature Bu You					
Date May 5, 2003					
		CERTIFICA	ATE OF MAILING	· · · · · · · · · · · · · · · · · · ·	
I hereby certify that this cor mail in an envelope addres	respondence is being sed to: P.O. Box 1450	deposited with th	e United States Postal		postage as first class ay 5, 2003
Typed or printed name	e Aimee V. Hi	lado, no	0		
Signature	James-	1). Was	45	Date May 5, 20	03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

MAR 1 9 2004
Form PTO/SB/08A (Modified)
INFORMATION DISCLOSUR

of

Sheet

DEMARE	Application Number	09/759,684	750
INFORMATION DISCLOSURE	Filing Date	January 10, 2001	" CE
STATEMENT BY APPLICANT	First Named Inventor	Larry L. Hoog	MAR 9
	Group Art Unit	3739 CHN	0, 320
(use as many sheets as necessary)	Examiner Name	David M. Shay	OCOGY CEL

Attorney Docket Number

Complete if known

155694-0067

			U.S. I	PATENT DOCUMENT	rs	· ¹¹
Examiner Initials	Cite No.	U.S. Patent Docu Number	Ment Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-	1.	6,306,075	Ľ	Shadduck	10-23-2001.	
	2.	5,899,920		DeSatnick et al.	05-04-1999	
	3.	5,957,921		Mirhashemi et al.	09-28-1999	
	4.	5,814,043		Shapeton	09-29-1998	
	5.	5,634,921		Hood et al.	06-03-1997	
	6.	5,571,101		Ellman et al.	11-05-1996	
	7.	5,695,492		Brown	12-09-1997	
	8.	6,056,739		Klopotek	05-02-2000	1.
· · · · · · · · · · · · · · · · · · ·	9.	5,423,815	T	Fugo	06-13-1995	
	10.	5,533,999		Hood et al.	07-09-1996	
	11.	6,213,997		Hood et al.	04-10-2001	
`	12.	5,749,871		Hood et al.	05-12-1998	
	13.	5,376,089		Smith	12-27-1994	
	14.	5,277,696		Hagen	01-11-1994	
	15.	5,413,574		Fugo	05-09-1995	
	16.	5,437,657		Epstein	08-01-1995	
	17.	5,480,398		Eggers	01-02-1996	
	18.	5,261,906		Pennino et al.	11-16-1993	
	19.	5,346,491		Oertli	09-13-1994	
	20.	5,217,459	1	Kamerling	06-08-1993	
	21.	5,312,401	ļ	Newton et al.	05-17-1994	
	22.	5,190,517		Zieve et al.	03-02-1993	
	23.	5,779,696		Berry et al.	07-14-1998	,
	24.	5,195,954		Schnepp-Pesch et al.	03-23-1993	
	25.	5,071,418		Rosenbaum	12-10-1991	
	26.	5,025,811		Dobrogowski et al.	06-25-1991	
	27.	5,174,304	1	Latina et al.	12-29-1992	
	28.	6,099,522		Knopp et al.	08-08-2000	
	29.	4,988,334	1	Hornlein et al.	01-29-1991	
	30.	4,907,585	1	Schachar	03-13-1990	
	31.	5,035,695	T	Weber, Jr. et al.	07-30-1991	
	32.	4,931,047	1	Broadwin et al.	06-05-1990	
	33.	5,015,227		Broadwin et al.	05-14-1991	
-	34.	4,898,169		Norman et al.	02-06-1990	
	35.	4,747,820		Hornlein et al.	05-31-1988	

Examiner:	 Date Considered:	

MAR 1 9 AFRICE TO/SB/08A (Modified)

MATTION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	4

Complete if known				
Application Number	09/759,684			
Filing Date	January 10, 2001			
First Named Inventor	Larry L. Hood			
Group Art Unit	3739			
Examiner Name	David M. Shay			
Attorney Docket Number	155694-0067			

7		10-08-1991	Lyons, Jr.	5,054,906	36.
		12-11-1990	Sand	4,976,709	37.
		08-11-1992	Sand	5,137,530	38.
:1/20	RECE	01-06-1987	Sauer	4,633,870	39.
-44 C	HEUE	03-08-1988	L'Esperance, Jr.	4,729,372	40.
	MAR 2 ;	04-26-1988	Rexroth et al.	4,739,759	41.
, 20 04	WIAN &	01-17-1989	L'Esperance, Jr.	4,798,204	42.
	TECHNOLOGY O	06-10-1986	Lindstrom et al.	4,593,691	43.
5 14 i	TECHNOLOGY C	05-27-1986	Malis et al.	4,590,934	44.
		02-19-1985	Mickiewicz	4,500,832	45.
		07-24-1984	Baron	4,461,294	46.
		02-23-1993	Kilmer et al.	5,188,125	47.
		12-06-1983	Jordan	4,419,747	48.
\neg		06-07-1983	Ehrlich	4,386,608	49.
		04-26-1983	Doss	4,381,007	50.
\neg		11-24-1981	Poler	4,301,802	51.
_	7	09-07-1982	Beale	4,347,842	52.
		02-21-1989	Pao	4,805,616	53.
		06-23-1987	Pao	4,674,499	54.
		04-27-1982	Doss et al.	4,326,529	55.
一		06-15-1976	Newton	3,963,030	56.
\neg		10-24-1972	Anderson	3,699,967	57.
		07-27-1973	Petersen	3,595,239	58.
		12-04-1973	Neefe	3,776,230	59.

			FOREIG	N PAT	ENT DOCUMEN	ΓS	
Examiner	Cite	F	oreign Patent Documer	nt	Name of Patentee or	Date of Publication	Pages, Columns,
Initials	No.	Office	Number	Kind Code	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear
	60.		WO/90/12618		Raden	11-01-1990	
	61.						_

Examiner:	•	Date Considered:
LAGIIIIIICI.		Date Considered.

Form PT&/SB/08A (Modified)

PRADER				Comp	DEC	
				Application Number	09/759,684	RECEIVED
INFO	RMATIC	ON DISC	LOSURE	Filing Date	January 10, 2001	
STAT	EMENT	BY AP	PLICANT	First Named Inventor	Larry L. Hood	MAR 2 3 4004
				Group Art Unit	3739	TECHNOLOGY CEN ER R370
(use	as many s	sheets as r	ecessary)	Examiner Name	David M. Shay	ER R370
Sheet	3	of	4	Attorney Docket Number	155694-0067	

	ОТН	ER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	62.	H. TREUMER ET AL., "Unipolar Scleral Diathermy for Changing Comeal Refraction," Department for Ophthalmology, University Eye Clinic Kiel
	63.	H. TREUMER ET AL., "Temperature Controlled Bipolar Diathermy of the Sclera for Controlled Refractive Changes of the Cornea," Department of Ophthalmology University Eye Clinic Kiel, Fortschritte Ophthalmol (1989) 86: pgs. 584-588
	64.	MCDONNEL, Peter, et al. "Radial Thermokeratoplasty or Hyperopia," Refractive & Corneal Surgery, Vol. 5, Jan./Feb. 1989, pp. 50-54
	65.	SEBBEN, Jack E. "Cutaneous Electrosurgery", pp. 14-15, 19-30, 42-43, 48, 52-55, 62-62, 77-83, 100-105.
	66.	"Anatomy of the Eye", Physiology of the Eye, Chapter 1, pp. 3, 6-8, 21-22
1	67.	MENDEZ, Antonio "Radiofrequency for Hyperopia Treatment", Beverly Hills presentation, August 28, 1993
	68.	VOYLES, Randel et al. "Biophysics of Electrosurgery", Excerpts from American College of Surgeons Meeting, San Francisco, CA, October 1993
·	69.	DOSS, James D., et al. "An Electrothermal Technique for the Alteration of Corneal Curvature", Los Alamos Scientific Laboratory Informal Report LA-7155-MS, February 1978, pp. 1-6
	70.	FUGO, Richard J. "Bipokeratoplasty: Using Cautery to Advantage", Ocular Surgery News, February 1993, pp. 101 & 113
_	71.	TREMBLY, Stuart B. "Combined Microwave Heating and Surface Cooling of the Cornea", Transactions of Biomedical Engineering, Volume 38, No. 1, January 1991, pp. 85-91
	72.	FELDMAN, Sandy T., et al. "Regression of Effect Following Radial Thermokeratoplasty in Humans", Refractive and Corneal Surgery, Volume 5, September/October 1989, pp. 289-291
	73.	MCDONNELL, Peter J. "Radial Thermokeratoplasty for Hyperopia". Refractive and Corneal Surgery, Volume 5, January/ February 1989, pp. 50-54

Examiner:	Date Considered:
-----------	------------------

MAR 1 9 Form TO/SB/08A (Modified) Complete if known **Application Number** 09/759,684 **INFORMATION DISCLOSURE** Filing Date January 10, 2001 STATEMENT BY APPLICANT **First Named Inventor** Larry L. Hood-**Group Art Unit** 3739 (use as many sheets as necessary) **Examiner Name** David M. Shay **Attorney Docket Number** 155694-0067 Sheet of

74.	DOSS, James D., et al. "A Technique for the Selective Heating of Comeal Stroma", Contact Lens, Volume 6, Number 1, pp.13-17	
 75.	RECEIVE	ED
	MAR 2 3 2004	- - 1

TECHNOLOGY CENTER R3700

Examiner:	Date Considered:	